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OCT 2 9 2004

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FROM: Winston Hsu, PATENT AGENT, REG. NO.: 41,526

**SERIAL NO.: 10/064,049** 

ATTORNEY DOCKET NO.: CMOP0008USA

SUBJECT: INFORMATION DISCLOSURE STATEMENT

TOTAL PAGES: 119 PAGES (INCLUDING COVER PAGE)

Winston Hsu 2004/10/29

PTO/88/97 (09-04)
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## Certificate of Transmission under 37 CFR 1.8 I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office 10/29/2004 Date Celia Hsieh Typed or printed name of person signing Certificate 886-2-8923-7350 Registration Number, if applicable Telephone Number Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper. (1) Transmittal Form 1 PAGE (2) Fee Transmittal 1 PAGE (3) Information Disclosure Statement 114 PAGES

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to life (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patern and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	FC	RM		First Named Inventor	Hsin-	Hsin-Ta Lee				
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I hereby certify the sufficient postage the date shown be	as first c	rrespondence is be yns ma ni liam eask	sing facsim reiope add	nile transmitted to the USPT tressed to: Commissioner fo	O or depos r Patents, P	ited with the Ur P.O. Box 1450, .	nited States Postat Service with Alexandrie, VA 22313-1450 on			
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. The will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Peternt and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-04) Approved for use through 07/31/2006. OMB 0881-0025 U.S. Petent and Tredemark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid QMB control number. Complete If Known **FEE TRANSMITTAL** 10/054,049 Application Number 06/04/2002 Filing Date for FY 2005 First Named Inventor Hsin-Ta Lee Effective 10/01/2004. Patent fees are subject to annual revision. KIM, AHSHIK Examiner Name Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2876 TOTAL AMOUNT OF PAYMENT (\$) 0.00CMOP0008USA Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Other 3. ADDITIONAL FEES Check Credit card None Large Entity , Small Entity Deposit Account: Fee Code Fee Description Code (3) Fee Paid 50-3105 Account 2051 1051 130 65 Surcharge - late filing fee or oath Number Surcharge - late provisional filing fee or cover sheet 1052 50 2052 25 North America Intellectual Property Corp. Non-English specification 1053 130 1053 130 e Director is authorized to: (check all that apply) Credit any overpayments 1812 2,520 1812 2.520 For filing a request for ex perte reexamination Charge fee(s) Indicated below 920° Requesting publication of SIR prior to Examiner action 920 1804 1804 Charge any additional fcc(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR after Examiner action 1805 1805 1.840\* to the above-identified deposit account. 1251 110 2251 **S**5 Extension for reply within first month **FEE CALCULATION** 215 Extension for reply within second month 1252 430 2252 1. BASIC FILING FEE 1253 980 2253 490 Extension for reply within third month arge Entity Small Entity Fee Paid Fee Description Fee Fee Code (\$) 1254 1.530 2254 765 Extension for raply within fourth month 1255 2.080 2255 1.040 Extension for reply within fifth month 1001 790 2001 395 Utility filing foo 1401 240 2401 2002 178 170 Notice of Appeal 1002 350 Design Ming fee 1003 550 2003 275 Plant filing fee 1402 340 2402 170 Filing a brief in support of an appeal 2403 1004 790 2004 395 Reissue filing fee 1403 300 150 Request for oral hearing 2005 1005 160 80 Provisional filing fee 1451 1.510 1451 1,510 Petition to institute a public use proceeding 1452 2452 110 55 Petition to revive - unavoidable SUBTOTAL (1) (\$) 0.00 1453 1.330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1.370 2501 685 Utility issue fee (or reissue) Extra Claims below. 1502 490 2502 245 Design izeue fee Total Claims -20\*\* = 1503 660 2503 330 Plant Issue fee Independent Claims - 3\*\* 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(a) arge Entity | Small Entity 1806 180 180 Submission of Information Disclosure Stmt 1808 Fee Fee Code (\$) Fee Description 40 Recording each patent sesignment per Code (\$) 8021 40 8021 property (times number of properties) 1202 18 2202 9 Claims in excess of 20 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 790 2809 395 1201 88 Independent claims in excess of 3 2201 44 395 For each additional invention to be examined (37 CFR 1,129(b)) 1203 300 2203 150 Multiple dependent claim, if not paid 1810 790 2810 Reissue independent claima over original patent 1204 88 2204 2801 1801 790 395 Request for Continued Examination (RCE) \* Reissue claime in excess of 20 1205 18 2205 9 1802 900 1802 900 Request for expedited examination and over original patent of a design application Other fee (specify) (\$) 0.00 SUBTOTAL (2) \*Reduced by Basic Filing Fee Paid or number previously paid, if greater, For Reissues, see above SUBTOTAL (3) 0.00 SUBMITTED BY (Complete // applicable)) Registration No. Name (Print/Type) Winston Hsu 41,526 886-2-89237350 (Altomey/Agent)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or ratain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Complete If Known Substitute for form 1449/PTO Application Number 10/064,049 Filing Date 06/04/2002 INFORMATION DISCLOSURE First Named Inventor Hsin-Ta Lee STATEMENT BY APPLICANT 2876 (Use as many sheets as necessary) KIM, AHSHIK Examiner Name Attorney Docket Number CMOP0008USA Sheet 1 of 1

U. S. PATENT DOCUMENTS  Examiner Cite   Document Number   Publication Date   Name of Patentee or   Pages, Columns, Lines, White   Pages   Document Number   Publication Date   Name of Patentee or   Pages   Columns   Lines   White   Pages   Columns   Lines   White   Pages   Columns   Lines   White   Pages   Columns   Lines   White   Lines   L									
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FOREIGN PATENT DOCUMENTS									
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. \*Enter Office that issued the document, by the two-tester code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must procede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for motioning this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## **PATENT**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicants:

Hsin-Ta Lee, Chao-Wen Wu

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Examiner:

Kim, Ahshik

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Filing Date:

06/04/2002

Art Unit: 2876

OCT 2 9 2004

App. No.:

10/064,049

Docket No.: CMOP0008USA

10 Title:

LIQUID CRYSTAL

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To:

Commissioner for Patents

P.O. Box 1450

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Alexandria VA 22313-1450

Subject:

Information Disclosure Statement with Certification for

Consideration under 37 C.F.R. §1.97

20 Sir:

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In accordance with 37 C.F.R. §1.56, the reference listed below and on the attached form PTO/SB/08 is being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. A legible copy of the cited document is enclosed.

Foreign-Language Documents

The following foreign-language document is being brought to the attention of the Examiner along with a concise explanation of the relevance of the document, as it is presently understood by the 10

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individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information of each document:

Taiwan Patent TW 495635 (published on July 21, 2002) discloses a 5 liquid crystal display utilizing the concept of controlling the capacity between the gate electrode and the source electrode thereof to suppress the occurrence of flickering. The liquid crystal display comprises a switching transistor comprising a gate electrode connected to a scanning line, a drain electrode connected to one of the signal lines, and a source electrode connected to the pixel electrode, the gate electrode and the source electrode having an overlapping region. The size of the overlapping region of a pixel closer to the scanning line control circuit is smaller than the size of the overlapping region of another pixel farther from the scanning line control circuit (Figs. 10A-10B, 27A-27B).

Claim 4 of the present application discloses that the gate electrode includes a pair of protective structures to protect the overlapping region. Because the prior art TW 495635 never teaches the concept of forming the protective structures as are disclosed in the present application, the claimed invention of the present application is believed different from the prior art TW 495635.

This information is being submitted before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application. Accordingly, pursuant to 37 C.F.R. §1.97(c), the undersigned submits the following certification requesting consideration of this statement:

Certification under 37 C.F.R. §1.97(c) and (e)

The undersigned hereby certifies:

	that	each	item	of	informatio	n c	ontaine	ai bs	this
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months prio	r to th	e filing	g of thi	S S1	tatement.				

that to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this statement.

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It is respectfully requested that the Examiner indicate consideration of the cited reference by returning a copy of the attached form PTO/SB/08 with initials or other appropriate marks.

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Respectfully Submitted,

20 Wenton Jans

Date: 0CT 2 8 2004

Winston Hsu, Patent Agent No. 41,526

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and I will return your call promptly.)

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